



AMERICAN SHORT LINE AND REGIONAL RAILROAD ASSOCIATION

The Voice of America's Independent Railroads

Dear Prospective Member –

Thank you for your interest in the American Short Line and Regional Railroad Association. We are pleased to have the opportunity to provide you with information about our organization and the benefits of membership. ASLRRA represents over 450 small railroads located in 49 states, as well as Canada and Mexico, and more than 350 suppliers of good and services to the rail industry. A few of the many ways in which ASLRRA assists its members are explored below:

Advocacy & Governance

One of ASLRRA's most important functions is keeping its members abreast of government and industry affairs. In a related role, ASLRRA monitors and testifies on legislative initiatives that impact small carriers before Congress and federal agencies, ensuring that the voice of short line railroads is heard in the offices of Washington's policy makers. To help members remain in compliance with new regulation, the Association distributes and provides clarification of final rules.

Safety & Training

ASLRRA believes strongly in the importance of promoting a safe workplace. Accordingly, we have developed a number of FRA-approved model programs for Class II and III railroads, including: Engineer Certification, Drug and Alcohol, Continuous Welded Rail, and Roadway Worker Protection programs and Power Brake rules. We help members to further achieve their safety goals with a series of Safety Training Seminars held in various locations through out the year. Finally, ASLRRA's dedicated staff provides another tier of support and is available to answer safety- and training-related questions whenever necessary.

Meetings

ASLRRA members stay informed through a regular schedule of meetings: educational and training seminars; a Rail Industry Safety & Operations Conference; an industry day in Washington, DC, Railroad Day on Capitol Hill; three Regional Meetings; and an Annual Convention and Exhibition showcasing goods and services for small railroads. In addition to being a forum for information sharing, these meetings provide a prime venue for suppliers and railroaders to interact. A list of upcoming meeting dates is available at www.aslrra.org/meetings___seminars/.

E-Marketplace

ASLRRA members may also use the Association's online E-Marketplace to contact over 350 Associate Members and place requests for bids on goods and services. We provide this time-saving feature to our members at no additional cost. Our E-Marketplace also includes a Classifieds section where members can advertise for good and services they wish to buy or sell. Visit www.aslrra.org/our_members/Associate_Members/ for more information.

News & Publications

Offered in the *News & Publications* section of the ASLRRA website are copies of a few of our publications, including our bi-weekly newsletter, *Views & News*, the bi-monthly *Safety Bulletin* and quarterly *Legal, Secure and Tracks Newsletters*. These publications help members remain up-to-date on developments in the short line and regional railroad industry and assist them in running a safe business. Also available for viewing online is the Association's Annual Report which provides an overview of occurrences and trends in the small railroad industry.

In addition, ASLRRA publishes the results of its comprehensive data study of national Class II and III railroads on a bi-annual basis. The resulting booklet, *Facts and Figures*, details statistics for the small railroad industry. The information contained in this booklet, which is available for purchase at a reduced rate for members, points to the scope of short line capabilities and services.

Working and Industry Committees

In order to meet the needs of a varied membership base, ASLRRA has established a variety of working committees including Finance & Administration, General Counsel, Legislative Policy, Railroad Police and Security, Safety, Technical and Training. Through membership with the ASLRRA railroads have the opportunity to serve as committee members and make valuable contributions through their participation. Additionally, the ASLRRA Staff and members represent the short line and regional railroad industry by serving on over 25 industry committees.

Special Services

ASLRRA members can also benefit from the following programs as described in the attached Special Services file:

- CAPTRUST 401(k) Affinity Plan
- HCM/CheckPoint Payroll System
- NARS Training Program
- Project S.A.F.E. (Safety Assessment and Facilities Evaluation)
- RRIF Loan Assistance Program
- Transportation Occupational Medical Consultants (TOMC) Hearing Testing & Conservation Program

ASLRRA Membership

Working for the interests of short line and regional railroads since 1913, ASLRRA's continuing mandate is to effectively represent and promote the interests of our membership. Aided in accomplishing this goal by a host of programs and services, we have established track record of which we are quite proud.

We would be pleased to welcome your railroad operation as a member of ASLRRA and begin providing you with all the associated benefits. Attached to this letter you will find a Membership Application and Dues Schedule. Please take a moment to review them and let us know if we can provide any further assistance.



AMERICAN SHORT LINE AND REGIONAL RAILROAD ASSOCIATION

The Voice of America's Independent Railroads

APPLICATION FOR MEMBERSHIP - RAILROAD MEMBER

ASLRRRA is a non-profit, incorporated association comprised of short line and regional railroads, with a Board of Directors elected regionally. The action of the Association is advisory only and not binding upon any of its members.

Full Membership: Any railroad located in the continental or territorial United States, Canada, or Mexico, is eligible for full membership. Except as otherwise directed by the Board of Directors, there shall be a separate membership for each railroad.

The undersigned company is applying for membership in American Short Line and Regional Railroad Association:

Company Name _____

Address _____

City _____ State _____ Zip _____

Phone _____ Fax _____

Website address: _____ E-mail address _____

Parent Company: _____ Alpha Code: _____ Route miles operated: _____

State(s) railroad operates in: _____ 2007 Gross Operating Revenue: _____

Class II, III, or S&T Carrier _____ Connecting railroad carrier(s): _____

ASLRRRA regularly corresponds with its members via e-mail, and the weekly newsletter, *Views and News*, bi-monthly *Safety Bulletin* and quarterly *Tracks* newsletters are also distributed via e-mail. Please provide e-mail addresses below:

Company Contacts: **(Please note additional addresses, phone numbers, etc. on a separate sheet if different from above)**

Main Contact _____ Title _____ E-mail _____

Operating Officer _____ Title _____ E-mail _____

Financial Contact _____ Title _____ E-mail _____

Marketing Contact _____ Title _____ E-mail _____

SLE _____ Title _____ E-mail _____

Drug & Alcohol Admin. _____ Title _____ E-mail _____

Security Contact _____ Title _____ E-mail _____

Voting Contact _____ Title _____ E-mail _____

General Counsel _____ Title _____ E-mail _____

Please specify if General Counsel is in-house attorney or representative from an outside firm: In-house Counsel Outside Firm

Please complete and return the application with payment to the ASLRRRA office. Refer to attached Dues and Fee Schedule. Thank you.

NOTE: Dues payments to American Short Line and Regional Railroad Association are not deductible as charitable contributions for federal income tax purposes, but may be deductible as a business expense. The portion of annual membership dues attributable to lobbying activities is not deductible. **Currently, 25% of members' dues are not deductible.**

Office Use Only

EJO: Amt. Pd: _____ Date Rec'd: _____ Method of Payment: _____ Eff. Date: _____ Region: _____

DW: Public Web / DM: V&N / CLH: MMX & Welcome Packet / KMC: Lists: Dues-State-Parent-Concurrence Rev. 04/09

AMERICAN SHORT LINE AND REGIONAL RAILROAD ASSOCIATION DUES AND FEE SCHEDULE

RAILROAD MEMBERSHIP DUES AND FEES: Full membership is open to any freight railroad located in the United States, Canada, or Mexico. Except as otherwise directed by the Board of Directors, there shall be a separate membership for each railroad member. Multiple member railroads owned or operated by a single entity will pay dues based on a graduated formula as shown below.

Class III Dues Rate:	\$7.21 per \$1,000 annual railway operating revenue based on a maximum threshold of \$895,000
Class III Minimum Dues:	\$1,410 per year
Class III Maximum Dues:	\$6,460 per year
Class II Dues:	\$7,625 per year
Canadian and Mexican Railroads:	\$1,410 per year

Multiple Member Railroad Dues Computation Schedule:

Railroad groups of 3 but less than 10 will pay 60% of dues based on current revenue rate and caps.

Railroad groups of 10 but less than 20 will pay 55% of dues based on current revenue rate and caps.

Railroad groups of 20 but less than 25 will pay 50% of dues based on current revenue rate and caps.

Railroad groups of 25 but less than 45 will pay 50% of dues based on current revenue rate and caps and \$1,410 for subset railroads.

Railroad groups of more than 45 will pay 45% of dues based on current revenue rate and caps and \$1,410 for subset railroads and Canadian carriers will be comped.

Carrier Operating Revenue includes the following revenue:

Freight, switching, water transfers, demurrage, incidental, joint facility (debit and credit), transfers from government authorities for current operations and amortization of deferred transfers from government authorities.

Carrier Operating Revenue does not include the following:

Income from property used in other than carrier operations, miscellaneous rent income, revenue from separately operated properties, dividend income, interest income, income from sinking and other funds, release of premiums of funded debt, contributions from other companies, income from affiliates and miscellaneous non-operating income.

ASLRRR Constitution, Article IX

Payment of Dues

Each member shall pay such dues as may be determined by the Board of Directors as necessary for conducting the affairs of the Association.

Computation of Dues

- (a) Dues of full members shall be based on the total annual railway operating revenues of each such member.
- (b) The total operating revenues for the second previous year shall be used in calculating such dues.
- (c) The Board of Directors may, for good cause, authorize deviations from the provisions of this Section.

Report of Revenues

Each member shall submit a report to the Association of total annual railway operating revenues by March 31st of each year covering the preceding calendar year.

PAYMENT INFORMATION

Check (payable to ASLRRA); Check/money order payments must be drawn in US dollars.

American Express

Visa

MasterCard

Card Number: _____ Exp. Date: _____

Card Verification Number: _____

On AMEX, it is a 4-digit number on the front of the card, above the card number. On VISA or MC it is the last 3 digits of the number on back of card inside signature panel.

Print Cardholder Name: _____ TOTAL DUE: \$ _____

Signature: _____ Date: _____

Cardholder Billing Address: _____

City _____ State _____ Zip _____

Cardholder Phone Number _____ :

Please complete and return with payment to the ASLRRA office. Thank you.

Members preferring to pay by credit card are requested to send an email to oneill@aslrra.org advising authorization of amount to be credited. DO NOT SEND CREDIT CARD INFORMATION IN YOUR EMAIL.